

# SEE-ERA.NET PLUS CALL FOR JOINT EUROPEAN RESEARCH PROJECTS CALL TEXT

## 1. BACKGROUND AND OBJECTIVES

Supported under the ERA-NET PLUS scheme, the SEE-ERA.NET PLUS project started on 1 April 2009. SEE-ERA.NET PLUS aims at structuring and expanding the European Research Area (ERA) to the Western Balkan countries (WBC) by coordinating and supporting RTD activities previously conducted predominantly at bilateral level.

The Call for Joint European Research Projects (JERPs) launched by SEE-ERA.NET PLUS has a call budget of €3.5 million. This activity will engage scientists and key stakeholders in R&D policy-making in the countries participating in the broad SEE-ERA.NET PLUS consortium, including EU Member States, Associated countries and the Western Balkan countries. SEE-ERA.NET PLUS is based on the SEE-ERA.NET project and its Regional Programme for Cooperation with South-East Europe (ReP-SEE), which was developed to enhance S&T cooperation with the Western Balkan Countries (WBC).

All 14 countries in the SEE-ERA.NET PLUS project have declared that they will give national financial contributions to the SEE-ERA.NET PLUS Call for Joint European Research Projects: ALBANIA, AUSTRIA, BOSNIA AND HERZEGOVINA, BULGARIA, CROATIA, FRANCE, GERMANY, GREECE, THE FORMER YUGOSLAV REPUBLIC OF MACEDONIA, MONTENEGRO, ROMANIA, SLOVENIA, SERBIA, TURKEY.

## 2. MANAGEMENT OF THE CALL

The overall management and coordination of the call will be carried out by the International Bureau of the Federal Ministry of Education and Research at the German Aerospace Center (DLR), which will also be responsible for the administration of the Coordinated Common Pot with contributions from the SEE-ERA.NET PLUS partner countries.

The call will have a two-stage submission procedure – Expression of Interest (EoI)<sup>1</sup> and Full Proposal – each followed by an independent evaluation by international experts. Only proposals selected under the first stage will be invited to submit a full proposal. The rules and procedures for submission of EoIs and Full Proposals are described in a separate document, “SEE-ERA.NET PLUS Joint Call: Guide for Applicants”.

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<sup>1</sup> An expression of interest is a short proposal in which the applicant presents the concept of the project idea and describes the objectives and expected impact of the proposed application.

### 3. WHAT KIND OF PROJECTS WILL BE FUNDED?

The SEE-ERA.NET PLUS Call for Joint European Research Projects focuses on the thematic areas AgroFood and ICT of the Seventh Framework Programme (FP7). The projects must fall under the following subtopics:

In the field of AgroFood:

- a. Preservation of indigenous species and traditional food products (in SEE/WBC)
- b. Interdisciplinary field: Land use impact in agriculture on biodiversity

In the field of ICT:

- c. Software systems for learning process management and support
- d. ICT for energy efficiency

### 4. ELIGIBILITY CRITERIA

#### a. Composition of the consortium

A minimum participation of at least two eligible institutions from different Western Balkan countries and at least one eligible institution from a non-WBC country in each project is required (see paragraph 3 in Chapter 1 of the present document).

If a significant added value can be proven, institutions from countries that are not part of the SEE-ERA.NET PLUS consortium may participate in the projects, but they are not eligible for funding. A “letter of commitment” ensuring funding for the applicant in question should be provided together with the EoI.

#### b. Legal status of applicants

Applications may be submitted by legal RTD entities that are eligible according to the national regulations of the participating countries. The funding scheme targets institutional collaboration: private individuals may not apply independently. Concerning the eligibility of the applicants, each SEE-ERA.NET PLUS country has determined what type of institution is eligible in its national regulations. The national specifications are described in Annex 3.

Wherever possible and eligible, the participation of Non Governmental Institutions (NGOs), commercial and industrial partners – especially small and medium-sized enterprises (SMEs) – is strongly recommended to ensure the relevance of the research to technological development and to the needs of society. Also, the participation of young scientists in the research teams is encouraged.

### c. Eligibility check

All project proposals submitted in the first stage will be checked for eligibility. The national specifications given in Annex 3 will be taken into consideration. In case of ineligibility, the proposal in question will not be considered for evaluation, with one exception: If one partner of the Joint European research project (JERP) consortium is found ineligible, the coordinator of the proposal will be informed by DLR within three weeks after the submission deadline. If the minimum criteria are fulfilled by a JERP consortium even though one or more partners are not eligible, the coordinator of the project proposal has to inform DLR within one week about his/her decision to continue (without this partner or with new partners) and present a revised version of the EoI. If the coordinator is not eligible, the whole proposal will be discarded.

## 5. FUNDING PROCEDURES

The overall call budget is €3,506,525 of which €2,563,525 are national contributions of the SEE-ERA.NET PLUS countries and €943,000 are the contribution of the European Commission (EC). The national contributions to the SEE-ERA.NET PLUS Call for Joint European Research Projects will be allocated according to a “juste retour”<sup>2</sup> principle. Each country’s national contributions to the common call budget are listed in Annex 1 to this call text. These national contributions may be increased over the course of the selection procedure in order to increase the number of funded projects.

The outcome of the evaluation process will be a final ranking list with all the proposals – ranked according to the number of points assigned to proposals during the evaluation – that passed the thresholds and are therefore recommended for funding. The proposals that are high on the list and are expected to be funded because enough funding is available will be put on a shortlist. The proposals with a lower rank, which are not expected to be funded – but which have also been recommended for funding! – will be placed on the reserve list. The top two thirds of the proposals on the shortlist will receive the EC contribution to the call budget (€943,000), complementing the requested national budgets. The lower third of the shortlisted proposals will be subject to a “balancing process” between the participating national programmes. This means that projects can be moved up or down within this part of the list or even between this part and the reserve list depending on the availability of national financial contributions. This balancing process at the borderline between the shortlist and the reserve list is caused by the specific nature of the “juste retour” principle. As soon as one partner in the proposal cannot be funded, the whole proposal will be discarded.

On behalf of the SEE-ERA.NET PLUS consortium and according to the national contributions as well as the EC contribution to the call budget, DLR will fund the Joint European Research Projects (JERPs) by providing non-repayable grants. Expenditures have to be actual, only for project purposes, incurred during the course of the project, economic and necessary. JERPs will have a duration of one to two years and need to include a substantial scientific contribution from each partner institution. JERPs can start between 1 August and 1 October 2010. The maximum funding that can be requested for each project is €150,000 for the whole project duration. The concrete deliverables will have to include an exploitation plan to ensure the sustainability of the results.

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<sup>2</sup> Under the “juste retour” principle, national contributions are distributed only to the selected national research teams.

The cost categories and country-specific rules for eligible costs (which also include VAT) are set out in Annex 3.

In general the upper funding limits for eligible costs in JERPs depend on whether the activities to be funded are economic or non-economic activities. In case of non-economic activities, the upper funding limit will be 100% of the eligible costs. In case of economic activities, the respective rates set out in the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. on Basic aid intensities: “the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed

- (a) 100% for fundamental research;
- (b) 70% for industrial research for small enterprises; 60% for medium-sized enterprises and 50% for large enterprises;
- (c) 25% for experimental development”.

The projects involving EU Member States have to comply with the Regulation (EC) 800/2008. However, the maximum aid for enterprises is €200,000. As a consequence, the aid received by enterprises in those SEE-ERA-NET PLUS countries that are EU Members States may not exceed €200,000 for the last three tax years. For the purpose of the Regulation, all applicants from EU Member States will provide a declaration on the state aid received by them, including “de minimis” support, following a standardized template in the second step of the application process (together with the full proposal).

## 6. HOW TO SUBMIT APPLICATIONS

Project proposals are submitted following a two-stage procedure and only via the web-based Call Management System PT-Outline. Applications must be in English. In order to start the application procedure or download the “SEE-ERA.NET PLUS Joint Call: Guide for applicants” please visit <http://www.pt-it.de/ptoutline/seeeraplus/>.

## 7. EVALUATION OF THE PROPOSALS

The Joint European Research Project proposals will be evaluated through a two-stage process with independent referees. Peer reviewers with a high level of professional experience in the public or private R&D sector will assess the proposals and apply the evaluation criteria which are set out in this call text. A Scientific Council – which will be composed of senior scientists nominated by each participating country – will provide support in the evaluation procedure: it will review the ranking lists of the Expressions of Interest (Eols) and Full Proposals (FPs).

### a. Evaluation of Expressions of Interest (Eols)

In the first phase, the Expressions of Interest (Eols) will be collected and undergo external remote evaluation. Two peer reviewers will be assigned to each Eol. The peer reviewers will score the Eols according to the two criteria set out below.

- Scientific and/or technological excellence – (maximum 10 points, no threshold)
  - Sound concept, quality of objectives
  - Innovativeness of the project idea
  - Progress beyond the state-of-the-art
  - Quality and effectiveness of the scientific and technological methodology
  - Sustainability of the project results
  - Qualification and relevant experience of the coordinator and the individual participants
  
- Potential impact (maximum 10 points, no threshold)
  - Contribution to the development of the research field in question
  - Impact of the project toward solving significant regional research problems

Based on the evaluation results, a ranking list of Eols will be drawn up and reviewed by the Scientific Council. The Scientific Council has two tasks: The first is to review the evaluation reports prepared by the peer reviewers. In case the Scientific Council identifies an unsound evaluation report, it has the right to discard it. The second task is the prioritization of proposals that have attained the same score in order to ensure a coherent ranking list. Only the top-ranking Eols will be invited to submit a Full Proposal. The number of Eols to be invited for Full Proposal will depend on the total available call budget, considering a success rate for full proposals of approximately 35%.

#### **b. Evaluation of Full Proposals (FPs)**

Full Proposals will also undergo a remote evaluation. Each Full Proposal will be evaluated by at least three peer reviewers according to the following three criteria.

- Scientific and/or technological excellence – (threshold 6/10)
  - Sound concept, quality of objectives
  - Innovativeness of the project idea
  - Progress beyond the state-of-the-art
  - Quality and effectiveness of the scientific and technological methodology
  - Sustainability of the project results
  
- Quality and efficiency of the implementation and the management (threshold 6/10)
  - Appropriateness of the management structure and procedures
  - Quality and effectiveness of the work plan and the distribution of tasks
  - Qualification and relevant experience of the coordinator and the individual participants
  - Quality of the consortium as a whole (including complementarity, balance)
  - Appropriate allocation and justification of the resources to be committed (budget, staff, equipment)

- Potential impact (threshold 6/10)
  - Contribution or relevance, at the European and/or international level, to the expected impacts listed in the FP7 under the themes ICT and AgroFood
  - Contribution to the development of the research field in question
  - Impact of the project toward solving significant regional research problems
  - Appropriateness of measures for the dissemination and/or exploitation of trans-national projects results, and management of intellectual property
  - Prospects for establishing efficient and sustainable partnership within the network, including transfer of know-how and experience

Based on the evaluation results, a ranking list of Full Proposals will be drawn up. Once again, the Scientific Council will have the task of reviewing it, as was the case with the Eols. The outcome will be a final ranking list which will be given to the SEE-ERA.NET PLUS Steering Board as a funding recommendation.

## 8. TIMETABLE

Publication of the call: 1 September 2009

Deadline for submission of Eol: 3 November 2009, 16:00 CET

Invitation for full proposals: 15 January 2010

Deadline for submission of full proposals: 15 March 2010, 16:00 CET

Funding decision: June 2010

Start of the JERPs: between 1 August and 1 October 2010

## 9. JURISDICTION

The place of jurisdiction is Bonn, Germany. In the event of dispute, German Law shall be applicable.

## 10. FURTHER INFORMATION AND COUNSELLING

Further information is available from your national SEE-ERA.NET PLUS contact points (Annex 2). Before submitting a proposal, all applicants should contact their national SEE-ERA.NET PLUS contact points to verify the eligibility of their proposal.

## ANNEX 1

### NATIONAL CONTRIBUTIONS TO THE JOINT CALL

#	Organization	Acronym	Country	Budget (€)
1	Federal Ministry of Science and Research	BMWF	Austria	300,000
2	Ministry of Education and Science	MOeS	Albania	50,000
3	Ministry of Foreign Affairs	MVP	Bosnia and Herzegovina	75,000
4	Ministry of Education and Science	MON	Bulgaria	200,000
5	Ministry of Science, Education and Sports	MZOS	Croatia	100,000
6	Ministry of Foreign Affairs	MAE	France	30,000
7	Ministry of Higher Education and Research	MESR	France	30,000
8	Federal Ministry of Education and Research	BMBF	Germany	400,000
9	General Secretariat for Research and Technology	GSRT	Greece	300,000
10	Ministry of Education and Science	MON-MK	Former Yugoslav Republic of Macedonia	100,000
11	Ministry of Education and Science	MPIN	Montenegro	90,000
12	National Authority for Scientific Research	ANCS	Romania	300,000
13	Ministry of Science and Technological Development	MSTD	Serbia	200,000
14	Ministry of Higher Education, Science and Technology	MHEST	Slovenia	300,000
15	Scientific and Technological Research Council	TÜBİTAK	Turkey	200,000
	<b>TOTAL national contributions</b>			<b>2,675,000</b>

## ANNEX 2

### SEE-ERA.NET PLUS JOINT CALL NATIONAL CONTACT POINTS

<b>Albania</b>	<b>Greece</b>
Ministry of Education and Science (MOeS)	General Secretariat for Research and Technology (GSRT) / Hellenic Ministry of Development
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Internet: <a href="http://www.mash.gov.al/">http://www.mash.gov.al/</a>	Internet: <a href="http://www.gsrt.gr">http://www.gsrt.gr</a>
<b>Austria</b>	<b>The Former Yugoslav Republic of Macedonia</b>
Centre for Social Innovation (ZSI)	Ministry of Education and Science (MON-MK)
Marion Haberfellner; Michaël Le Gohebel	Stanka Petkovska
Tel.: +43-1-495 04 42-67 ; +43-1-495 04 42-36	Tel.: +389-2-314 0189
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Internet: <a href="http://www.zsi.at/">http://www.zsi.at/</a>	Internet: <a href="http://www.mon.gov.mk">http://www.mon.gov.mk</a>
<b>Bosnia and Herzegovina</b>	<b>Montenegro</b>
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Internet: <a href="http://7fp.mon.bg">http://7fp.mon.bg</a>	Internet: <a href="http://www.ancs.ro">www.ancs.ro</a>



<b>Croatia</b>	<b>Serbia</b>
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Internet: <a href="http://hit.hit.hr">http://hit.hit.hr</a>	Internet: <a href="http://www.nauka.gov.rs">http://www.nauka.gov.rs</a>
<b>France</b>	<b>Slovenia</b>
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Internet: <a href="http://www.diplomatie.gouv.fr/">http://www.diplomatie.gouv.fr/</a>	Internet: <a href="http://www.mvzt.gov.si">http://www.mvzt.gov.si</a>
<b>Germany</b>	<b>Turkey</b>
International Bureau of the Federal Ministry of Education and Research	Scientific and Technological Research Council of Turkey (TUBITAK)
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Internet: <a href="http://www.internationales-buero.de">http://www.internationales-buero.de</a> ; <a href="http://www.kooperation-international.de">http://www.kooperation-international.de</a>	Internet: <a href="http://www.tubitak.gov.tr">www.tubitak.gov.tr</a>

## ANNEX 3

# SEE-ERA.NET PLUS Call for Joint European Research Projects

## National Eligibility Criteria

*Please note that this list may not be complete since it is updated until September 1 only as information becomes available to the Joint Call Secretariat at DLR from the participating funding agencies. It remains your responsibility to check with your national funding agency for the most recent requirements.*

**Last update: August 27, 2009**

### Contents:

<u>Country</u>	<u>Page</u>
ALBANIA	2
AUSTRIA	5
BOSNIA AND HERZEGOVINA	8
BULGARIA	11
CROATIA	14
FRANCE	17
GERMANY	20
GREECE	23
THE FORMER YUGOSLAV REPUBLIC OF MACEDONIA	26
MONTENEGRO	29
ROMANIA	33
SERBIA	37
SLOVENIA	40
TURKEY	44

## **Albania (MOeS)**

### **MOeS Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in Albania**

**Note that the Albanian participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- a) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- b) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- c) must fall within the following subtopics within the theme Agro Food:
  - 1) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 2) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 3) Software systems for learning process management and support
  - 4) ICT for energy efficiency
- d) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- e) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the Albanian SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### **Who can apply?**

In Albania public universities, faculties, accredited private universities, research centres and institutes, SME's, development agencies and NGO's that have in their statute the research activities are eligible for funding.

### **What types of funding are eligible for Albanian researchers?**

#### **I Eligible costs as direct costs**

- a. Other costs:

##### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country.

##### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of 700 € (economy class & return ticket) for each travel to another SEE-ERA.NET PLUS country will be applied.

#### *Subsistence allowance*

The general subsistence allowance including accommodation costs etc. in the context of business trips should be 50 € (plus accommodation costs on the basis of invoices) per day (full day). The day of arrival and the day of departure are treated each as a half day.

#### b. Costs of durable equipment

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable. The consortiums will be requested to provide an official confirmation stating that depreciation is calculated according to national rules and that they are solely responsible for keeping national rules.

#### c. Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

#### d. Costs of personnel

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted.

A) The maximum monthly wage for scientific personnel shall be 1.500 €

B) The maximum monthly wage for administrative personnel shall be 750 €

C) The maximum hourly wage for temporary employee shall be 7,5 €

Since these wages are wages for a full month, the maximum wage for a half month etc. are calculated on a pro-rata basis.

#### e. Subcontracting

The general rule should be that applicants shall implement the JERP and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting should only be eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

#### II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting. In Albania institutions should have an eligible overhead rate of 20 %.

#### III. Upper funding limits for the eligible costs

Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: “the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development”. The projects involving EU Member States should comply with the Regulation (EC) 800/2008. However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including “de minimis” following a standardized template in the second step of the application process (together with the full proposal).

Since Albania is a country associated to the FP7, legal entities in Albania shall participate in indirect actions of the Seventh Framework Programme under the same conditions as those applicable to the legal entities of Member States of the European Union. As a consequence the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION has to be applied accordingly.

#### **ALBANIAN CONTACT:**

##### **Ministry of Education and Science (MOeS)**

**Edmond Agolli**

Tel.: +355-4-228 757

e-mail: [eagolli@mash.gov.al](mailto:eagolli@mash.gov.al)

Internet: <http://www.mash.gov.al/>

## **Austria (BMWf)**

### **BMWf Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in Austria**

**Note that the Austrian participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- a) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- b) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- c) must fall within the following subtopics within the theme Agro Food:
  - 1) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 2) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 3) Software systems for learning process management and support
  - 4) ICT for energy efficiency
- d) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- e) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the Austrian SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### **Who can apply?**

In Austria Universities and Non-university research institutions are eligible for funding. SMEs and Enterprises/Industry are allowed to participate without funding on own expenses.

### **What types of funding are eligible for Austrian researchers?**

#### **I Eligible costs as direct costs**

- a. Other costs:

##### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country.

##### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of 700 € for each travel to another SEE-ERA.NET PLUS country (economy class & return ticket) will be applied. In addition also domestic travel costs are eligible up to an amount of 230 € per travel (return ticket).

**Subsistence allowance**

The subsistence allowance per day (full day) in € including accommodation costs etc. in the context of the business trips should be applied as a lump sum given in the table below. The day of arrival and the day of departure are treated each as a half day.

Subsistence allowance per day for business trips to (- € -)												
Albani a	Bosnia and Herzeg ovina	Bulgari a	Croatia	France	Germa ny	Greece	FYR of Maced onia	Monte negro	Roman ia	Serbia	Sloveni a	Turkey
94	94	82	82	94	94	94	94	94	94	94	94	82

**b. Costs of durable equipment**

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable. The consortiums will be requested to provide an official confirmation stating that depreciation is calculated according to national rules and that they are solely responsible for keeping national rules.

**c. Consumables and supplies**

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

**d. Costs of personnel**

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel will be granted.

The maximum amount for the respective category is shown in the table below.

Dienstverträge	Stunden- ausmaß	Personalkostensatz Jahr <sup>i</sup>	Bruttogehalt Monat
Senior Postdoc <sup>ii</sup>	40 Std.	63.420,00	3.542,10
Postdoc	40 Std.	56.110,00	3.133,40
<b>DoktorandIn neu<sup>iv</sup></b>			
DoktorandIn neu <sup>iv</sup>	30 Std.	32.800,00	1.830,60
BMA <sup>v</sup>	40 Std.	38.080,00	2.126,10
CTA <sup>vi</sup>	40 Std.	31.380,00	1.751,30
MTF <sup>vii</sup>	40 Std.	35.290,00	1.969,80
TF <sup>viii</sup>	40 Std.	28.040,00	1.564,70

- i inklusive Dienstgeberanteil
- ii mit mindestens zwei Jahren Forschungserfahrung als Postdoc, deren Forschungsstätte dem UG2002 unterliegt
- iv Berechnungsgrundlage für ein geringeres Beschäftigungsausmaß ist eine Summe von €43.733,33 pro Jahr (100%)
- v BiomedizinischeR AnalytikerIn
- vi Chemisch-TechnischeR AssistentIn, technischeR AssistentIn
- vii Medizinisch-Technische Fachkraft
- viii Technische Fachkraft, MechanikerIn, LaborantIn, ProgrammiererIn

e. Subcontracting

The general rule is that applicants shall implement the JERP and shall have the necessary resources to that end. However, it is accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting is only eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting. In Austria the eligible overhead rate is 20 %.

III. Upper funding limits for the eligible costs

Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: "the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development". The projects involving EU Member States should comply with the Regulation (EC) 800/2008. However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including "de minimis" following a standardized template in the second step of the application process (together with the full proposal).

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## **Bosnia-Herzegovina (MVP)**

### **MVP Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in Bosnia-Herzegovina**

**Note that the participation of applicants from Bosnia and Herzegovina must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- f) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- g) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- h) must fall within the following subtopics within the theme Agro Food:
  - 5) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 6) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 7) Software systems for learning process management and support
  - 8) ICT for energy efficiency
- i) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- j) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the Bosnian SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### **Who can apply?**

In Bosnia-Herzegovina universities, faculties, research centers and institutes, SME's, development agencies and NGO's are eligible for funding.

### **What types of funding are eligible for Bosnian researchers?**

#### **I Eligible costs as direct costs**

##### **a. Other costs:**

##### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country.

##### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of 700 € (economy class & return ticket) for each travel to another SEE-ERA.NET PLUS country will be applied. In addition also domestic travel costs are eligible up to an amount of 200 € per travel (return ticket).

*Subsistence allowance*

The general subsistence allowance including accommodation costs etc. in the context of business trips should be up to 200 € per full day and up to 100 € for a half day. The full day is calculated on the base 12 hours plus one minute. The subsistence allowance should be calculated as an upper funding limit with the final calculation on the basis of invoices.

b. Costs of durable equipment

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable. The consortiums will be requested to provide an official confirmation stating that depreciation is calculated according to national rules and that they are solely responsible for keeping national rules.

c. Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

d. Costs of personnel

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted.

A) The maximum monthly wage for scientific personnel shall be 1.600 €

B) The maximum monthly wage for administrative personnel shall be 1.000 €

C) The maximum hourly wage for temporary employee shall be 10 €

Since these wages are wages for a full month, the maximum wage for a half month etc. are calculated on a pro-rata basis.

e. Subcontracting

The general rule should be that applicants shall implement the JERP and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting should only be eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting. In Bosnian institutions should have an eligible overhead rate of 20%.

III. Upper funding limits for the eligible costs

Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: “the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development”. The projects involving EU Member States should comply with the Regulation (EC) 800/2008. However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including “de minimis” following a standardized template in the second step of the application process (together with the full proposal).

Since Bosnia-Herzegovina is a country associated to the FP7, legal entities in Albania shall participate in indirect actions of the Seventh Framework Programme under the same conditions as those applicable to the legal entities of Member States of the European Union. As a consequence the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION has to be applied accordingly.

## **BOSNIAN CONTACT:**

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## **Bulgaria (MON)**

### **MON Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in Bulgaria**

**Note that the Bulgarian participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- a) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- b) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- c) must fall within the following subtopics within the theme Agro Food:
  - 1) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 2) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 3) Software systems for learning process management and support
  - 4) ICT for energy efficiency
- d) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- e) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the Bulgarian SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### **Who can apply?**

In Bulgaria Higher Education Establishments, Public Research Centres, Private Non-profit Research Centres, Non-research Public sector, Commercial sector (including SMEs) are eligible for funding.

### **What types of funding are eligible for Bulgarian researchers?**

#### **I Eligible costs as direct costs**

- a. Other costs:

##### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country.

##### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of 700 € (economy class & return ticket) for each travel to another SEE-ERA.NET PLUS country will be applied. In addition also domestic travel costs should be eligible up to an amount of 60 € (economy class & return ticket) per travel.

*Subsistence allowance*

The general subsistence allowance including accommodation costs etc. in the context of business trips should be 165 € per day (full day). The day of arrival and the day of departure are treated each as a half day. Since lump sums are not eligible under the national BG legislation, the amount stated should be considered as 130 EUR for accommodation and 35 EUR for daily allowance. The final calculation for the accommodation will be done on the basis of invoices.

b. Costs of durable equipment

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable. The consortiums will be requested to provide an official confirmation stating that depreciation is calculated according to national rules and that they are solely responsible for keeping national rules. Please note that in Bulgaria public research institutions do not charge depreciation costs but follow cash-based accounting, meaning that they can claim up to 100 % of the equipment costs, in case that they use the equipment only for the project duration.

c. Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

d. Costs of personnel

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted.

A) The maximum monthly wage for scientific personnel shall be 700 €

B) The maximum monthly wage for administrative personnel shall be 500 €

C) The maximum hourly wage for temporary employee shall be 5.5 €

Since these wages are wages for a full month, the maximum wage for a half month etc. are calculated on a pro-rata basis.

e. Subcontracting

The general rule should be that applicants shall implement the JERP and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting should only be eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting. In Bulgaria the following rates are applied:

a) Institutions belonging to public sector should have an eligible overhead rate of 7 %

b) Institutions belonging to private sector should have an eligible overhead rate of up to 20 %

III. Upper funding limits for the eligible costs  
Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: “the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development”. The projects involving EU Member States should comply with the Regulation (EC) 800/2008. However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including “de minimis” following a standardized template in the second step of the application process (together with the full proposal).

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## Croatia (MZOS)

### MZOS Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in Croatia

**Note that the Croatian participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- a) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- b) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- c) must fall within the following subtopics within the theme Agro Food:
  - 1) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 2) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 3) Software systems for learning process management and support
  - 4) ICT for energy efficiency
- d) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- e) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the Croatian SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### Who can apply?

In Croatia Universities, Faculties, Polytechnics, Research Institutes and SMEs are eligible for funding.

### What types of funding are eligible for Croatian researchers?

#### I Eligible costs as direct costs

- a. Other costs:

##### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country.

##### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of up to 1000 € (economy class & return ticket) for each travel to another SEE-ERA.NET PLUS country will be applied.

*Subsistence allowance*

The general subsistence allowance including accommodation costs etc. in the context of business trips should be calculated as an upper funding limit with the final calculation on the basis of invoices. The upper funding limit should be up to 220 € per day (full day). The day of arrival and the day of departure are treated each as a half day.

b. Costs of durable equipment

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable. The consortiums will be requested to provide an official confirmation stating that depreciation is calculated according to national rules and that they are solely responsible for keeping national rules

c. Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

d. Costs of personnel

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted.

A) The maximum monthly wage for scientific personnel shall be 1.600 €

B) The maximum monthly wage for administrative personnel shall be 1.000 €

C) The maximum hourly wage for temporary employee shall be 10 €

Since these wages are wages for a full month, the maximum wage for a half month etc. are calculated on a pro-rata basis.

e. Subcontracting

The general rule should be that applicants shall implement the JERP and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting should only be eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting. In Croatia institutions should have an eligible overhead rate of 20 %.



III. Upper funding limits for the eligible costs  
Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: “the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development”. The projects involving EU Member States should comply with the Regulation (EC) 800/2008. However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including “de minimis” following a standardized template in the second step of the application process (together with the full proposal).

Since Croatia is a country associated to the FP7, legal entities in Croatia shall participate in indirect actions of the Seventh Framework Programme under the same conditions as those applicable to the legal entities of Member States of the European Union. As a consequence the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION has to be applied accordingly.

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## **France (MAEE & MESR)**

### **MAEE & MESR Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in France**

**Note that the French participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- k) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- l) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- m) must fall within the following subtopics within the theme Agro Food:
  - 9) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 10) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 11) Software systems for learning process management and support
  - 12) ICT for energy efficiency
- n) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- o) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the French SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### **Who can apply?**

In France public research, higher education bodies and non-profit R&D bodies are eligible for funding.

### **What types of funding are eligible for French researchers?**

#### **I Eligible costs as direct costs**

a. Other costs:

##### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country.

##### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of up to 700 € (economy class & return ticket) for each travel to another SEE-ERA.NET PLUS country will be applied.

*Subsistence allowance*

The general subsistence allowance including accommodation costs etc. in the context of business trips should be 150 € per day (full day). The subsistence allowance should be calculated as an upper funding limit with the final calculation on the basis of invoices. The day of arrival and the day of departure are treated each as a half day.

b. Costs of durable equipment

Costs of durable equipment are not eligible in France.

c. Consumables and supplies

Costs of consumables and supplies are not eligible in France.

d. Costs of personnel

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted.

The maximum monthly wage for temporary personnel used for administrative purposes shall be 2000 €. This temporary personnel must work on administrative and logistic tasks (e.g: conference organisation...).

Since these wages are wages for a full month, the maximum wage for a half month etc. are calculated on a pro-rata basis.

e. Subcontracting

Costs of subcontracting are not eligible in France.

II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting. In France public research, higher education bodies and non-profit R&D bodies should have an eligible overhead rate of 20 %.

III. Upper funding limits for the eligible costs

Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: “the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development”. The projects involving EU Member States should comply with the Regulation (EC) 800/2008.

However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including “de minimis” following a standardized template in the second step of the application process (together with the full proposal).

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## Germany (BMBF)

### BMBF Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in Germany

**Note that the German participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- a) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- b) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- c) must fall within the following subtopics within the theme Agro Food:
  - 1) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 2) Interdisciplinary field: Land use impact in agriculture on biodiversity
 or within the theme ICT:
  - 3) Software systems for learning process management and support
  - 4) ICT for energy efficiency
- d) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- e) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the German SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### Who can apply?

In Germany Higher Education Institutions, Public Research Establishments, Private Non-profit Research Establishments, Non-research Public sector, Non-research Private Non-profit Establishments, Commercial sector (including SMEs) are eligible for funding.

### What types of funding are eligible for German researchers?

#### I Eligible costs as direct costs

##### a. Other costs:

##### *Events*

In general, all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country. For lunch/dinner the maximum amount of 30€ per person shall be applied.

##### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. Lump sums in € as given in the table below should be applied for the respective journey of German experts to another SEE-ERA.NET PLUS country.

Costs for business trips to (- € -)												
Austria	Albani a	Bosnia and Herzeg ovina	Bulgari a	Croatia	France	Greece	FYR of Maced onia	Monte negro	Roman ia	Serbia	Sloveni a	Turkey
500	650	500	600	700	450	450	1000	700	500	700	450	450

### *Subsistence allowance*

The subsistence allowance per day (full day) in € including accommodation costs etc. in the context of the business trips should be applied as a lump sum given in the table below. The day of arrival and the day of departure are treated each as a half day.

Subsistence allowance per day for business trips to (- € -)												
Austria	Albani a	Bosnia and Herzeg ovina	Bulgari a	Croatia	France	Greece	FYR of Maced onia	Monte negro	Roman ia	Serbia	Sloveni a	Turkey
94	94	94	82	82	94	94	94	94	94	94	94	82

#### b. Costs of durable equipment

Costs of durable equipment are not eligible in Germany.

#### c. Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

#### d. Costs of personnel

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted.

A) The maximum monthly wage for scientific personnel employed in a public institution shall be 4.934 €

B) The maximum monthly wage for scientific personnel employed in a private institution shall be 7.000 €

C) The maximum monthly wage for administrative personnel shall be 3.865 €

D) The maximum hourly wage for temporary employee shall be 15 €

Since these wages are wages for a full month, the maximum wage for a half month etc. are calculated on a pro-rata basis.

e. Subcontracting

The general rule should be that applicants shall implement the JERP and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting should only be eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting. In Germany higher education institutions are not allowed to charge overheads. Institutions other than higher education institutions should calculate their overhead only on the gross salaries and according to the national regulations rate.

III. Upper funding limits for the eligible costs

Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: "the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development". The projects involving EU Member States should comply with the Regulation (EC) 800/2008. However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including "de minimis" following a standardized template in the second step of the application process (together with the full proposal).

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## **Greece (GSRT)**

### **GSRT Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in Greece**

**Note that the Greek participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- a) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- b) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- c) must fall within the following subtopics within the theme Agro Food:
  - 1) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 2) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 3) Software systems for learning process management and support
  - 4) ICT for energy efficiency
- d) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- e) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the Greek SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### **Who can apply?**

In Greece Higher Education Establishments, Public Research Centres, Private Non-profit Research Centres, Non-research Public sector, Non-research Private Non-profit, Commercial sector (including SMEs) are eligible for funding.

### **What types of funding are eligible for Greek researchers?**

#### **I Eligible costs as direct costs**

- a. Other costs:

##### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country.

##### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of up to 1000 € (economy class & return ticket) for each travel to another SEE-ERA.NET PLUS country will be applied. In addition also domestic travel costs should be eligible up to an amount of 300 € (economy class & return ticket) per travel.



#### *Subsistence allowance*

The general subsistence allowance including accommodation costs etc. in the context of the business trips should be 300 € per day (full day). It is calculated as an upper funding limit with the final calculation on the basis of invoices. The day of arrival and the day of departure are treated each as a half day.

#### b. Costs of durable equipment

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable. Since in Greece no national regulation for depreciation costs is applicable, the costs of durable equipment for a Greek participant are limited to 20% (up to 30.000 €) of the overall contribution of GSRT to the resp. a JERP.

#### c. Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

#### d. Costs of personnel

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted.

A) The maximum monthly wage for a young researcher working in a project up to 24 months shall be 1000 €. Young researchers are persons, up to 35 years old, who are in the process of getting a doctoral degree/or are in a possession of a doctoral degree.

B) The maximum monthly wage for temporary personnel shall be 300 €

Since these wages are wages for a full month, the maximum wage for a half month etc. are calculated on a pro-rata basis.

#### e. Subcontracting

The general rule should be that applicants shall implement the JERP and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting should only be eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

## II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting. No indirect costs should be eligible for applicants from Greece.

III. Upper funding limits for the eligible costs  
Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: “the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development”. The projects involving EU Member States should comply with the Regulation (EC) 800/2008. However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including “de minimis” following a standardized template in the second step of the application process (together with the full proposal).

As an exception to the rules outlined above, Greek Private Non-profit Research Centres and Non-research Private Non-profit institutions should have an upper funding limit of 50% of its eligible costs without any reference to the type of activity.

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## **Former Yugoslav Republic of Macedonia (MON-MK)** **MON-MK Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in the Former Yugoslav Republic of Macedonia**

**Note that the Macedonian participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- a) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- b) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- c) must fall within the following subtopics within the theme Agro Food:
  - 1) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 2) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 3) Software systems for learning process management and support
  - 4) ICT for energy efficiency
- d) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- e) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the Macedonian SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### **Who can apply?**

In the Former Yugoslav Republic of Macedonia universities, public and private research institutes and SMEs are eligible for funding. Non-research institutions are not eligible.

### **What types of funding are eligible for Macedonian researchers?**

#### **I Eligible costs as direct costs**

- a. Other costs:

##### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country.

##### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of 700 € (economy class & return ticket) for each travel to another SEE-ERA.NET PLUS country will be applied. In addition also domestic travel costs should be eligible up to an amount of 30 € (economy class & return ticket) per travel.

### Subsistence allowance

The general subsistence allowance including accommodation costs etc. in the context of the business trips is split up in up to 100 € for hotel accommodation and up to 55 € for per diem. The final calculation for hotel accommodation will be done on the bases on invoices and sums for per diem are determined by Law, specified by country in the table above. The day of arrival and the day of departure are treated each as a half day.

Per diem as part of subsistence allowance per day for business trips to (- € -)												
Austria	Albani a	Bosnia and Herzeg ovina	Bulgari a	Croatia	France	Germa ny	Greece	Monte negro	Roman ia	Serbia	Sloveni a	Turkey
45	29.5	25	32.5	33.5	48.5	52.5	42	26.5	43	38.5	34.5	34.5

#### b. Costs of durable equipment

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable. The consortiums will be requested to provide an official confirmation stating that depreciation is calculated according to national rules and that they are solely responsible for keeping national rules.

#### c. Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

#### d. Costs of personnel

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted.

A) The maximum monthly wage for scientific personnel shall be 1.100 €

B) The maximum monthly wage for administrative personnel shall be 460 €

C) The maximum hourly wage for temporary employee shall be 6,5 €

Since these wages are wages for a full month, the maximum wage for a half month etc. are calculated on a pro-rata basis.

#### e. Subcontracting

The general rule should be that applicants shall implement the JERP and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting should only

be eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

II. Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting. All eligible institutions should have an overhead rate of 7%.

III. Upper funding limits for the eligible costs

Upper funding limits for the eligible costs in JERPs depending on the type of institution. While the general upper funding limit is 100% of the eligible costs, business enterprises (small or medium) can only be funded up to 50% of its eligible costs.

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## Montenegro (MPIN)

### MPIN Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in Montenegro

**Note that the Montenegrin participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- a) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- b) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- c) must fall within the following subtopics within the theme Agro Food:
  - 1) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 2) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 3) Software systems for learning process management and support
  - 4) ICT for energy efficiency
- d) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- e) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the Montenegrin SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### Who can apply?

In Montenegro Public and Private Higher Education Establishments, Public and Private Research Centres are eligible for funding. Commercial sector (including SMEs) can participate as additional partner but at their own expenses.

### What types of funding are eligible for Montenegrin researchers?

#### I Eligible costs as direct costs

- a. Other costs:

##### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country.

##### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. Lump sums in € as given in the table below should be applied for the respective journey of Montenegrin experts to another SEE-ERA.NET PLUS

country. In addition also domestic travel costs should be eligible up to an amount of 50 € (economy class & return ticket) per travel.

Costs for business trips to (- € -)												
Austria	Albani a	Bosnia and Herzeg ovina	Bulgari a	Croatia	France	Germa ny	Greece	FYR of Maced onia	Roman ia	Serbia	Sloveni a	Turkey
700.00	300.00	300.00	700.00	300.00	700.00	700.00	700.00	300.00	700.00	300.00	300.00	700.00

#### *Subsistence allowance*

The subsistence allowance per day (full day) in € including accommodation costs etc. in the context of the business trips should be up to 100 € per night for accommodation plus per diems according to the table below. The day of arrival and the day of departure are treated each as a half day.

Subsistence allowance per day for business trips to (- € -)												
Austria	Albani a	Bosnia and Herzeg ovina	Bulgari a	Croatia	France	Germa ny	Greece	FYR of Maced onia	Roman ia	Serbia	Sloveni a	Turkey
101.50	88.50	76.00	83.00	85.00	85.00	85.50	83.00	83.00	83.00	67.50	85.00	50.00

#### b. Costs of durable equipment

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable. The consortiums will be requested to provide an official confirmation stating that depreciation is calculated according to national rules and that they are solely responsible for keeping national rules.

#### c. Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

#### d. Costs of personnel

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted.

A) The maximum monthly wage for scientific personnel shall be 779 €

B) The maximum monthly wage for administrative personnel shall be 515 €

C) The maximum hourly wage for temporary employee shall be 4,95 €

Since these wages are wages for a full month, the maximum wage for a half month etc. are calculated on a pro-rata basis.

e. Subcontracting

The general rule should be that applicants shall implement the JERP and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting should only be eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting.

Institutions belonging to Higher Education Institutions and Research Centres should have an eligible overhead rate of 10 %

III. Upper funding limits for the eligible costs

Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: "the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development". The projects involving EU Member States should comply with the Regulation (EC) 800/2008. However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including "de minimis" following a standardized template in the second step of the application process (together with the full proposal).

Since Montenegro has transposed the Community Framework into its own legislation, except for the 200,000.00 limitation, legal entities in Montenegro shall participate in indirect actions of the Seventh Framework Programme under the same conditions as those applicable to the legal entities of Member States of the European Union. As a consequence the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION has to be applied accordingly.



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## Romania (ANCS)

### ANCS Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in Romania

**Note that the Romanian participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- a) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- b) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- c) must fall within the following subtopics within the theme Agro Food:
  - 1) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 2) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 3) Software systems for learning process management and support
  - 4) ICT for energy efficiency
- d) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- e) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the Romanian SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### Who can apply?

In Romania Higher Education Establishments, Public Research Centres, Private Non-profit Research Centres and the Commercial sector (including SMEs) are eligible for funding.

### What types of funding are eligible for Romanian researchers?

#### I Eligible costs as direct costs

- a. Other costs:

##### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country.

##### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of 700 € (economy class & return ticket) for each travel to another SEE-ERA.NET PLUS country will be applied.

### *Subsistence allowance*

The subsistence allowance per day (full day) in € including accommodation costs etc. in the context of the business trips should be applied as given in the table below. The figures for accommodation will be considered as upper funding limits and final calculation will be done on the basis of the invoices. As for per diem, the figures from the table are lump sums for full day (24 hours of stay). The hour of departure and of arrival will be taken into consideration for a half day, meaning that half of the subsistence allowance will be considered when there will be less than 12 hours remained.

Subsistence allowance per day for business trips to (- € -)												
Austria	Albani a	Bosnia and Herzeg ovina	Bulgari a	Croatia	France	Germa ny	Greece	FYR of Maced onia	Monte negro	Serbia	Sloveni a	Turkey
150+ 35	100+ 32	100+ 32	100+ 32	100+ 32	150+ 35	150+ 35	150+ 35	100+ 32	100+ 32	100+ 32	150+ 35	100+ 32

#### b. Costs of durable equipment

Costs for durable equipment are not eligible for Romania.

#### c. Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project. The costs for consumables and supplies for a Romanian participant are limited to 3600 € per year per project.

#### d. Costs of personnel

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted. In Romania the following upper limits for personnel costs are applied.

Ctr. no	Categories of activities	Level of studies	Position / professional degree	Upper limit in euro/person/month
1.	Activities requiring a high level of creativity and / or management experience and skills	Higher	CS I, CS II, IDT I, IDT II, university professor, university lecturer, programme / project manager	4300
2.	Activities requiring advanced knowledge of analysis and synthesis methods as well as application skills	Higher	CS II, IDT III, CS, IDT, university lecturer, university assistant, programme / project manager, project responsible	2900
3.	Activities requiring knowledge of analysis and synthesis methods and research methodologies as well as application skills	Higher	Research assistant, doctor's degree candidate, master's degree candidate	1900
4.	Support activities	Higher or secondary	TI, TII, TIII, TS, other	1000

Since these wages are wages for a full month, the maximum wage for a half month etc. are calculated on a pro-rata basis.

e. Subcontracting

The general rule should be that applicants shall implement the JERP and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting should only be eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc. For Romania the costs for subcontracting are limited to 10% of the overall contribution of ANCS to the resp. JERP.

II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting. Institutions belonging to all sectors should have an eligible overhead rate of 20 %.

III. Upper funding limits for the eligible costs

Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR

RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: “the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development”. The projects involving EU Member States should comply with the Regulation (EC) 800/2008. However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including “de minimis” following a standardized template in the second step of the application process (together with the full proposal).

In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

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## **Serbia (MSTD)**

### **MSTD Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in Serbia**

**Note that the Serbian participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- a) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- b) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- c) must fall within the following subtopics within the theme Agro Food:
  - 1) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 2) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 3) Software systems for learning process management and support
  - 4) ICT for energy efficiency
- d) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- e) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the Serbian SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### **Who can apply?**

In Serbia Higher Education establishment, Public Research Centers, Innovation Centers and Research-development Centers are eligible for funding.

### **What types of funding are eligible for Serbian researchers?**

#### **I Eligible costs as direct costs**

- a. Other costs:

##### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country.

##### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of 700 € (economy class & return ticket) for each travel to another SEE-ERA.NET PLUS country will be applied.

**Subsistence allowance**

The subsistence allowance per day (full day) in € including accommodation costs etc. in the context of the business trips should be applied as given in the table below as an upper funding limit with the final calculation on the basis of invoices. For the per diem the day of arrival and the day of departure are treated each as a half day.

Subsistence allowance (per diem & hotel accommodation) per day for business trips to (- € -)												
Austria	Albani a	Bosnia and Herzeg ovina	Bulgari a	Croatia	France	Germa ny	Greece	FYR of Maced onia	Monte negro	Roman ia	Sloveni a	Turkey
58 € + 120 €	34 € + 100 €	41 € + 100 €	62 € + 120 €	41 € + 100 €	98 € + 120 €	78 € + 120 €	50 € + 120 €	41 € + 100 €	41 € + 100 €	45 € + 120 €	41 € + 120 €	61 € + 100 €
					(Pariz) 84 € + 120 € for other towns		(Athen s) 40 euro + 120 € for other towns					(Istanb ul) 30 € + 100 € (Ankar a) 22 € + 100 € for all other towns

**b. Costs of durable equipment**

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable. The consortiums will be requested to provide an official confirmation stating that depreciation is calculated according to national rules and that they are solely responsible for keeping national rules.

**c. Consumables and supplies**

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

**d. Costs of personnel**

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted.

- A) The maximum monthly wage for scientific personnel shall be 1.500 €
- B) The maximum monthly wage for administrative personnel shall be 500 €
- C) The maximum hourly wage for temporary employee shall be 80 €

Since these wages are wages for a full month, the maximum wage for a half month etc. are calculated on a pro-rata basis.

e. Subcontracting

The general rule should be that applicants shall implement the JERP and shall have the necessary resources to that end. However, it should be accepted that as an exception certain parts that are not "core" parts of the project may be subcontracted. Subcontracting should only be eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting. All eligible institutions should have an overhead rate of 7%.

III. Upper funding limits for the eligible costs

Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: "the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development". The projects involving EU Member States should comply with the Regulation (EC) 800/2008. However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including "de minimis" following a standardized template in the second step of the application process (together with the full proposal).

Since Serbia is a country associated to the FP7, legal entities in Serbia shall participate in indirect actions of the Seventh Framework Programme under the same conditions as those applicable to the legal entities of Member States of the European Union. As a consequence the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION has to be applied accordingly.

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## **Slovenia (MHEST)**

### **MHEST Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in Slovenia**

**Note that the Slovenian participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- a) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- b) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- c) must fall within the following subtopics within the theme Agro Food:
  - 1) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 2) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 3) Software systems for learning process management and support
  - 4) ICT for energy efficiency
- d) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- e) may not exceed the maximum request for funding of €150,000 for the whole project duration

**National eligibility as to who may apply and what costs are eligible, must be checked with the Slovenian SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

#### **Who can apply?**

In Slovenia all institutions that are registered with the Slovenian Research Agency as a research institution are eligible: faculties, public research centres, private companies (SME), industry, private non profit research centres, except legal private persons only may not apply. All researchers who wants to participate in SEE-ERA.NET Plus Joint Call need to be registered at the Slovenian Research Agency in order to be eligible to apply.

#### **What types of funding are eligible for Slovenian researchers?**

##### **I Eligible costs as direct costs**

###### a. Other costs:

###### *Events*

In general all costs directly related to the preparation and the implementation of events like workshops, conferences etc. are eligible. Costs for the events should be requested by the partner in the hosting country.

###### *Travel*

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of 700 € (economy class & return ticket) for each travel to another SEE-ERA.NET PLUS country will be applied.

*Subsistence allowance*

The subsistence allowance per day (full day) in € including accommodation costs etc. in the context of the business trips should be applied as given in the table below. The day of arrival and the day of departure are treated each as a half day.

Subsistence allowance per day for business trips to (- € -)												
Austria	Albani a	Bosnia and Herzeg ovina	Bulgari a	Croatia	France	Germa ny	Greece	FYR of Maced onia	Monte negro	Roman ia	Serbia	Turkey
55	40	40	40	40	55	55	40	40	40	40	40	40
plus 100 for accommodation												

b. Costs of durable equipment

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The consortiums will be requested to provide an official confirmation stating that depreciation is calculated according to national rules and that they are solely responsible for keeping national rules.

c. Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

d. Costs of personnel

Personnel costs are costs for scientific and technical personnel to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted according to the national rules.

A) The maximum monthly wage for scientific personnel shall be calculated in accordance with valid price for research hour that is published on the ARRS webpage<sup>3</sup>

B) Administrative personnel shall be paid from overhead

C) The maximum monthly wage for technical personnel shall 2/3 of the regular scientific personnel and shall be calculated in accordance with valid price for research hour that is published on the ARRS webpage.

Since these wages are wages for a full month, the maximum wage for a half month etc. are calculated on a pro-rata basis.

e. Subcontracting

The general rule should be that applicants shall implement the JERP and shall have the necessary resources to that end. However, it should be accepted that as an exception certain

<sup>3</sup> Source: ARRS - <http://www.rrs.gov.si/sl/progproj/cena/cena-09-jul.asp> (22.07.2009)

parts that are not "core" parts of the project may be subcontracted. Subcontracting should only be eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

#### II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are in Slovenia calculated as a percentage of the material costs (materialni stroški). All eligible institutions are allowed to have a maximum of 15% from material costs dedicated for overheads.

#### III. Upper funding limits for the eligible costs

Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: "the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development". The projects involving EU Member States should comply with the Regulation (EC) 800/2008.

However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including "de minimis" following a standardized template in the second step of the application process (together with the full proposal).

#### Additional comment

Please note the following national specifications in Slovenia

**Salary costs:** 17,88EUR per hour

**Taxes and social security:** 2,88EUR per hour

**Material costs** (travel, printing, organisation of conferences, consumables, overhead,...): 12,75EUR per hour

**Depreciation costs** (equipment): 6,36EUR per hour

This is an average used for the pre-calculation of costs under the Slovenian national rules. Salary of researcher can vary and it depends on a title of researcher.

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## Turkey (TÜBİTAK)

### TÜBİTAK Eligibility Criteria for SEE-ERA.NET PLUS Joint Call applicants based in Turkey

**Note that the Turkish participation must meet the requirements of a SEE-ERA.NET PLUS Joint European Research Project (JERP). Each JERP project:**

- a) may be submitted by legal RTD entities eligible according to the national regulations of the participating countries. The funding scheme targets at institutional collaboration: legal private persons only may not apply
- b) must meet the minimum participation of at least two eligible institutions from different Western Balkan countries and from at least one eligible institution from a non-WBC country
- c) must fall within the following subtopics within the theme Agro Food:
  - 1) Preservation of indigenous species and traditional food products (in SEE/WBC)
  - 2) Interdisciplinary field: Land use impact in agriculture on biodiversityor within the theme ICT:
  - 3) Software systems for learning process management and support
  - 4) ICT for energy efficiency
- d) will have duration of one to two years and need to include a substantial scientific contribution from each partner institution
- e) may not exceed the maximum request for funding of €150,000 for the whole project duration

Please note:

Applicants from Turkey will be financed under the framework of TÜBİTAK's program number 1509 (Support Program for International R&D Projects of Industry). Details of the program can be accessed from the link below:

<http://www.tubitak.gov.tr/home.do?ot=1&sid=698&pid=478>

**National eligibility as to who may apply and what costs are eligible, must be checked with the Turkish SEE-ERA.NET PLUS Joint Call National Contact Point before a consortium submits the complete SEE-ERA.NET PLUS Joint Call Expression of Interest (EoI) to the SEE-ERA.NET PLUS Joint Call electronic proposal submission system.**

### Who can apply?

In Turkey SMEs and big enterprises are eligible. Universities and research institutions are eligible if they are a subcontractor of the companies.

### What types of funding are eligible for Turkish researchers?

#### I Eligible costs as direct costs

- a. Other costs:

#### *Events*

In Turkey the organization of events is not eligible. Travel costs of Turkish participants to the organized events are eligible (see below)

#### *Travel*

An upper funding limit with the final calculation on the basis of invoices is applied for the travel costs. 700 € (economy class & return ticket) for each travel to another SEE-ERA.NET PLUS country will be the maximum funding limit.

#### *Subsistence allowance*

Here, lump sums are taken as a basis for budget calculation. The general subsistence allowance including accommodation costs etc. in the context of business trips should be 100 € per day (full day). The day of arrival and the day of departure are treated each as a half day.

#### b. Costs of durable equipment

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable. The consortiums will be requested to provide an official confirmation stating that depreciation is calculated according to national rules and that they are solely responsible for keeping national rules.

#### c. Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs. Consumables are only eligible costs under the project if bought after the start date of the project.

#### d. Costs of personnel

Personnel costs are costs for scientific and administrative personnel as well other supporting staff (temporary employees etc.) to the extent as they are employed on the research project. In order to avoid double funding, only the cost for additional personnel should be granted.

Only the wage for scientific personnel is eligible for TÜBİTAK. For details please visit <http://www.tubitak.gov.tr/home.do?ot=1&sid=698&pid=478>

#### e. Subcontracting

The general rule should be that applicants shall implement the JERP and shall have the necessary resources to that end. Turkish universities and research institutions are not allowed to initiate a project as a main partner. But they can provide R&D services to companies within the project and their contribution to the project can be funded by subcontracting. Besides, subcontracting should be eligible when it deals with support services like rent of locations, translation costs, costs for technical equipment in the context of the organisation of events or printing services etc.

## II Eligible costs as Indirect costs

Eligible costs as Indirect costs are the overheads and are calculated as a percentage of the direct costs excluding subcontracting. No indirect costs should be eligible for applicants from Turkey.

III. Upper funding limits for the eligible costs  
Upper funding limits for the eligible costs in JERPs depending whether the activities to be funded are *economic* or *non-economic* activities. In case of *non-economic* activities the upper funding limit should be 100% of the eligible costs.

In case of *economic* activities the respective rates according to the State Aid regulations of the EC have to be applied. According to the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION, chapter 5.1.2. Basic aid intensities: “the aid intensity, as calculated on the basis of the eligible costs of the project, shall not exceed (a) 100 % for fundamental research; (b) 70 % for industrial research for small enterprises; 60% for medium enterprises and 50% for large enterprises; (c) 25 % for experimental development”. The projects involving EU Member States should comply with the Regulation (EC) 800/2008. However, the maximum aid for enterprises should be € 200,000. As a consequence the aid provided to enterprises in those SEE-ERA-NET PLUS countries, which are EU Members States, should amount at € 200,000 for the three tax years. For the purpose of the Regulation, all applicants from EU member states will provide a declaration on the received state aid including “de minimis” following a standardized template in the second step of the application process (together with the full proposal).

Legal entities in Turkey shall participate in indirect actions of the Seventh Framework Programme under the same conditions as those applicable to the legal entities of Member States of the European Union. As a consequence the COMMUNITY FRAMEWORK FOR STATE AID FOR RESEARCH AND DEVELOPMENT AND INNOVATION has to be applied accordingly.

In addition to the general regulation applied to all EU Member States and associated countries, in Turkey the following national upper funding limits are applied:  
SMEs %75, big enterprises %50-60

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